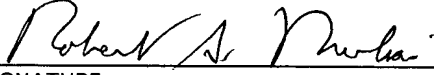


100 JG10 Rec 5-14-00 12 MAR 2002

|   |  |   |  |   |
|---|--|---|--|---|
| FORM-PTO-1390<br>(Rev. 9-2001)  |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | KEY'S DOCKET NUMBER   |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b>   |  |   |  | 018793-261  |
|   |  |   |  | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)<br>New U.S. Application <b>10/070733</b> |
| INTERNATIONAL APPLICATION NO.<br>PCT/JP01/06125   |  | INTERNATIONAL FILING DATE<br>July 16, 2001              |  | PRIORITY DATE CLAIMED<br>July 17, 2000  |
| TITLE OF INVENTION<br>Polylactic Acid Resin Composition and Formed Item Thereof   |  |   |  |   |
| APPLICANT(S) FOR DO/EO/US<br>Tomoyuki KASEMURA et al.   |  |   |  |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |   |  |   |
| <p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>    a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>    b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>    c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>    a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>    b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>    a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>    b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>    c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>    d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> |  |   |  |   |
| Items 11 to 20 below concern document(s) or information included:   |  |   |  |   |
| <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p>  |  |   |  |   |
| PCT/RO/105; PCT/IB/301; PCT/IB/304; PCT/IB/308; PCT/ISA/210; and the Front Page of International Publication WO 02/06400  |  |   |  |   |



21839

|  |              |   |                  |   |              |
|--|--------------|---|------------------|---|--------------|
| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)<br>New U.S. Application <b>10/070755</b>  |              | INTERNATIONAL APPLICATION NO.<br>PCT/JP01/Q6125 |                  | ATTORNEY'S DOCKET NUMBER<br>018793-261                          |              |
| 21. <input type="checkbox"/> The following fees are submitted:   |              |   |                  | <b>CALCULATIONS</b>   | PTO USE ONLY |
| <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b><br><br>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,040.00 (960)<br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00 (970)<br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00 (958)<br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00 (956)<br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 (962) |              |   |                  |   |              |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>  |              |   |                  | <b>\$ 890.00</b>  |              |
| Surcharge of <b>\$130.00 (154)</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).   |              |   |                  | 20 <input type="checkbox"/> 30 <input type="checkbox"/> \$      |              |
| Claims   | Number Filed | Number Extra                                    | Rate             |   |              |
| Total Claims   | 12 -20 =     | 0   | X\$18.00 (966)   | \$ 0.00   |              |
| Independent Claims   | 2 -3 =       | 0   | X\$84.00 (964)   | \$ 0.00   |              |
| Multiple dependent claim(s) (if applicable)  |              |   | + \$280.00 (968) | \$ 0.00   |              |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |   |                  | <b>\$ 890.00</b>  |              |
| Reduction for 1/2 for filing by small entity, if applicable (see below).   |              |   |                  | +   | \$ -         |
| <b>SUBTOTAL =</b>  |              |   |                  | <b>\$ 890.00</b>  |              |
| Processing fee of <b>\$130.00 (156)</b> for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |   |                  | 20 <input type="checkbox"/> 30 <input type="checkbox"/> \$ 0.00 |              |
| <b>TOTAL NATIONAL FEE =</b>  |              |   |                  | <b>\$ 890.00</b>  |              |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 (581)</b> per property  |              |   |                  | +   | \$ 40.00     |
| <b>TOTAL FEES ENCLOSED =</b>   |              |   |                  | <b>\$ 930.00</b>  |              |
|  |              |   |                  | Amount to be refunded:  | \$           |
|  |              |   |                  | charged:  | \$           |
| a. <input type="checkbox"/> Small entity status is hereby claimed.<br>b. <input checked="" type="checkbox"/> A check in the amount of \$ <u>930.00</u> to cover the above fees is enclosed.<br>c. <input type="checkbox"/> Please charge my Deposit Account No. <u>02-4800</u> in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.<br>d. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4800</u> . A duplicate copy of this sheet is enclosed.<br><br><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>   |              |   |                  |   |              |
| SEND ALL CORRESPONDENCE TO:<br><br><div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">                     Robert G. Mukai<br/>                     BURNS, DOANE, SWECKER &amp; MATHIS, L.L.P.<br/>                     P.O. Box 1404<br/>                     Alexandria, Virginia 22313-1404<br/>                     (703) 836-6620                 </div> <div style="width: 45%; text-align: center;"> <br/>                     _____<br/>                     SIGNATURE<br/>                     Robert G. Mukai<br/>                     _____<br/>                     NAME<br/>                     28,531<br/>                     _____<br/>                     REGISTRATION NUMBER<br/>                     March 12, 2002<br/>                     _____<br/>                     DATE                 </div> </div>       |              |   |                  |   |              |

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

03/14/2002 MALI11 00000068 10070733

01 FC:970

890.00 DP

PTO-1556  
(5/87)